1.0 Purpose and Benefits

This standard establishes authorized methods for remotely accessing New York State (NYS) resources and services securely.

Major security concerns with remote access include the lack of physical security controls, the use of unsecured networks, the connection of infected devices to internal networks, the availability of internal resources to external hosts, potential damage to State resources, and unauthorized access to State information. This standard attempts to address these concerns.

2.0 Authority

Section 103(10) of the State Technology Law provides the Office of Information Technology Services (ITS) with the authority to establish statewide technology policies, including technology and security standards. Section 2 of Executive Order No. 117, established January 2002, provides the State Chief Information Officer with the authority to oversee, direct and coordinate the establishment of information technology policies, protocols, and standards for State government, including hardware, software, security, and business re-engineering. Details regarding this authority can be found in NYS ITS Policy, NYS-P08-002 Authority to Establish State Enterprise Information Technology (IT) Policy, Standards and Guidelines.

3.0 Scope

This standard applies to all “State Entities” (SE), defined as “State Government” entities as defined in Executive Order 117, established January 2002, or “State Agencies” as defined in Section 101 of the State Technology Law. This includes employees and all
third parties (such as local governments, consultants, vendors, and contractors) that use or access any IT resource for which the SE has administrative responsibility, including systems managed or hosted by third parties on behalf of the SE. While an SE may adopt a different standard, it must include the requirements set forth in this one.

### 4.0 Information Statement

NYS allows for remote access when there is a clear, documented business need. Access may be allowed from State-issued or personally-owned devices, at the discretion of the State Entities (SEs) and in accordance with the standards below. Such access must be limited to only those systems necessary for needed functions.

#### 4.1 Approved Methods of Remote Access

Approved methods of remote access to NYS systems are listed in order of preference.

- **Portals** - a server that offers access to one or more applications through a single centralized interface that provides authentication (e.g., web-based portal, virtual desktop interface (VDI)).
- **Direct Application Access** – accessing an application directly with the application providing its own security (e.g., webmail, https).
- **Remote System Control** – controlling a system remotely from a location other than the State’s internal network.
- **Tunneling** - a secure communication channel through which information can be transmitted between networks (e.g., Virtual Private Network (VPN)).

#### 4.2 Required Controls

- Any method of remote access must use a centrally managed authentication system for administration and user access.
- Devices and software used for remote access must be approved by the SE after review by the SE’s Information Security Officer (ISO)/designated security representative. SEs may provide blanket approvals based on this review.
- The authentication token used for remote access must conform to the requirements of the appropriate assurance level as per the NYS-P20-001 Digital Identity Policy.
- Remote access sessions must require re-authentication after 30 minutes of inactivity.
- Remote access sessions must not last any longer than 24 hours.
- The SE must monitor for unauthorized remote connections and other anomalous activity and take appropriate incident response action as per the NYS-S13-005 Cyber Incident Response Standard.
g. Tunneling specific controls:

(a) No split tunneling is allowed.

(b) Network controls regulating access to the remote access endpoint and between remote devices and SE networks are required.

(c) When a remote access device will have access to other networked devices on the State’s internal network, the remote device must be authenticated such that configuration of the device is compliant with applicable policies.

5.0 Compliance

This standard shall take effect upon publication. Compliance is expected with all enterprise policies and standards. ITS may amend its policies and standards at any time; compliance with amended policies and standards is expected.

If compliance with this standard is not feasible or technically possible, or if deviation from this policy is necessary to support a business function, SEs shall request an exception through the Chief Information Security Office exception process.

6.0 Definitions of Key Terms

Except for terms defined in this policy, all terms shall have the meanings found in http://www.its.ny.gov/glossary.

7.0 Contact Information

Submit all inquiries and requests for future enhancements to the policy owner at:

Chief Information Security Office
Reference: NYS-S14-010
NYS Office of Information Technology Services
1220 Washington Avenue, Building 5
Albany, NY 12226
Telephone: (518) 242-5200
Email: CISO@its.ny.gov

Statewide technology policies, standards, and guidelines may be found at the following website: http://www.its.ny.gov/tables/technologypolicyindex

8.0 Revision History

This standard shall be subject to periodic review to ensure relevancy.
Date                      | Description of Change                                         | Reviewer                                           
---------------------------|----------------------------------------------------------------|---------------------------------------------------- 
04/18/2014                 | Original Standard Release                                     | Thomas Smith, Chief Information Security Officer    
05/15/2015                 | Removed references to state workforce                        | Deborah A. Snyder, Deputy Chief Information Security Officer 
02/24/2017                 | Update to Scope, contact information and rebranding           | Deborah A. Snyder, Deputy Chief Information Security Officer 
07/16/2020                 | Update to Authority, Scope and Contact Information            | Karen Sorady, Acting Chief Information Security Officer 
05/20/2021                 | Updated Scope language                                       | Karen Sorady, Acting Chief Information Security Officer 

9.0 Related Documents

National Institute of Standards and Technology (NIST) Special Publication 800-46, Rev. 2, Guide to Enterprise Telework, Remote Access, and Bring Your Own Device (BYOD) Security 

NIST Special Publication 800-113, Guide to SSL VPNs 

NIST Special Publication 800-114, Rev.1, User's Guide to Telework and Bring Your Own Device (BYOD) Security 

NYS-P20-001 Digital Identity Policy 

NYS-S13-005 Cyber Incident Response Standard