1.0 Purpose and Benefits

This policy establishes minimum accessibility requirements to ensure that Information and Communication Technology ("ICT") and certain websites developed, procured, maintained, or used by State Entities is accessible to people with disabilities. ICT is defined as information technology and other equipment, systems, technologies, or processes, for which the principal function is the creation, manipulation, storage, display, receipt, or transmission of electronic data and information, as well as any associated content. Examples of ICT include but are not limited to computers and peripheral equipment; information kiosks and transaction machines; telecommunications equipment; customer premises equipment; multifunction office machines; software; applications; websites; videos; and, electronic documents. This policy is intended to facilitate the full and complete use of State applications, websites, and other digital interfaces by the public and State employees.

This policy is based on the requirements of Section 508 of the Rehabilitation Act of 1973, as amended (“Revised 508 Standards”), and mandates that State Entities “develop, procure, maintain, or use” ICT in a manner that ensures State employees with disabilities have comparable access to, and use of, such information and data relative to other State employees, unless doing so would impose an undue burden on a State Entity. Further, pursuant to Section 508, State Entities must ensure that members of the public with disabilities have comparable access to publicly available information and services unless doing so would impose an undue burden on the agency.

This policy is also based on the requirements of Executive Law Section 170-f. Section 170-f requires that each contractor, subcontractor, vendor, consultant, or other person...
providing services pursuant to a state contract shall be required to conform any website provided by such contractor, subcontractor, vendor, consultant, or person in relation to and for the purpose of the provision of such services to the most current version of the Web Content Accessibility Guidelines (“WCAG”) adopted by the World Wide Web Consortium (“W3C”) for accessibility, or any successor guidelines. The most current version of the Web Content Accessibility Guidelines can be found here: https://www.w3.org/WAI/standards-guidelines/wcag/.

2.0 Authority

Section 103(10) of the State Technology Law provides the NYS Office of Information Technology Services (“ITS”) with the authority to establish statewide technology policies, including technology and security standards. Section 2 of Executive Order No. 117\(^1\), issued January 2002, provides the State Chief Information Officer with the authority to oversee, direct and coordinate the establishment of information technology policies, protocols and standards for State government, including hardware, software, security, and business re-engineering. Details regarding this authority can be found in ITS Policy, NYS-P08-002 Authority to Establish Enterprise Information Technology (IT) Policies, Standards and Guidelines.

Section 103(20) of the State Technology Law requires ITS to issue guidance for contractors, subcontractors, vendors, consultants, or other persons in their compliance with the Web Content Accessibility Guidelines required pursuant to Executive Law Section 170-f. This policy is intended to satisfy that requirement.

3.0 Scope

This policy applies to all “State Entities” (SE), defined as “State Government” entities as defined in Executive Order 117, established January 2002, or “State Agencies” as defined in Section 101 of the State Technology Law. This includes employees and all third parties (such as local governments, consultants, vendors, and contractors) that use or access any IT resource for which the SE or ITS has administrative responsibility, including systems managed or hosted by third parties on behalf of the SE or ITS. While an SE may adopt a different policy/standard, it must include the requirements set forth in this one. Where a conflict exists between this policy/standard and a SE’s policy/standard, the more restrictive requirement will take precedence.

This Policy is based on Section 508 and the Web Content Accessibility Guidelines(“WCAG”) 2.0 A and AA success criteria.

\(^{1}\) All references to Executive Order 117 refer to that which was originally issued by Governor George E. Pataki on January 28, 2002 and continued by Executive Order 5 issued by Governor Eliot Spitzer on January 1, 2007, Executive Order 9 issued by Governor David A. Patterson on June 18, 2008, Executive Order 2 issued by Governor Andrew M. Cuomo on January 1, 2011, and Executive Order 6 issued by Governor Kathy Hochul on October 8, 2021.
4.0 Information Statement

Pursuant to the Revised 508 Standards, as applied through this policy, content made available by an SE to members of the general public (“public facing content”) shall be accessible. Further, electronic content that is not public facing but is official business communicated through one of the following nine categories must also be made accessible: 1) an emergency notification; 2) an initial or final decision adjudicating an administrative claim or proceeding; 3) an internal or external program or policy announcement; 4) a notice of benefits, program eligibility, employment opportunity, or personnel action; 5) a formal acknowledgment of receipt; 6) a survey or questionnaire; 7) a template or form; 8) educational or training materials; and 9) intranet content designed as a Web page.

Moreover, SEs shall, to the extent such creation does not create an undue burden on the SE, conform its newly created ICT and public facing content to WCAG 2.0 A and AA guidelines, which provide recommendations for making Web content even more accessible. For guidance on how to make content accessible consistent with WCAG 2.0 A and AA, please see the resources on the W3C website: https://www.w3.org/WAI/GL/WCAG20/; including, but not limited to the materials in the section “WCAG 2.0 Supporting Documents.”

Ensuring Compliance

To ensure compliance with the requirements of Revised 508 Standards and this policy, SEs must:

- Conduct manual testing of ICT before production use, prior to any fundamental alterations, and biennially thereafter through use of specialized software or services.
- Maintain documented testing reports for each ICT until the next automated and manual testing is completed.
- Keep a list of ICTs and the current compliance status of each.
- Clearly post an “accessibility” link on the SE website’s Home Page footer. The linked page should specify whom to contact with questions about the site’s accessibility and the accessibility of any other ICT under the control of the SE.

Third Party Web-based Information and Application Development

All solicitation documents, contracts, and any amendments executed by SEs containing a scope of work requiring development, procurement, and/or maintenance of ICT shall include the following clause:

Any network-based information and applications development, or programming, including, but not limited to, websites delivered to or by the State pursuant to this
contract or procurement, will comply with Section 508 of the Rehabilitation Act of 1973, as amended, Executive Law Section 170-f, as amended, and be consistent with New York State Enterprise IT Policy NYS-P08-005, Accessibility of Information Communication Technology, as such policy may be amended, modified or superseded (the “Accessibility Policy”). The Accessibility Policy requires that State Entity Information Communication Technology shall be accessible to persons with disabilities as determined by accessibility compliance testing. Such accessibility compliance testing will be conducted by [State Entity name, contractor or other] and any report on the results of such testing must be satisfactory to [State Entity name].

The exemptions described in the following section do not apply to contractors, subcontractors, vendors, consultants, or other persons providing services pursuant to a State contract subject to Executive Law § 170-f.

Exemptions

A. Existing ICT

Any component or portion of existing ICT, meaning ICT that has been procured, maintained, or used on or before January 18, 2018, that complies with an earlier standard issued pursuant to Section 508, as amended, and that has not been altered on or after January 18, 2018, shall not be required to be modified to conform to the Revised 508 Standards.

B. Undue Burden or Fundamental Alteration

Where an SE determines that conformance to requirements in the Revised 508 Standards would impose an undue burden or would result in a fundamental alteration in the nature of the ICT, conformance shall be required only to the extent that it does not impose an undue burden or result in a fundamental alteration in the nature of the ICT. Nothing in this policy alters an SE’s independent authority and responsibility to determine what constitutes an undue burden or fundamental alteration.

The SE, in determining whether conformance to requirements in the Revised 508 Standards would impose an undue burden, shall consider the extent to which conformance would impose significant difficulty or expense considering the SE resources available to the program or component for which the ICT is to be procured, developed, maintained, or used.

The responsible SE official shall document in writing the basis for determining that conformance to requirements in the Revised 508 Standards constitutes an undue burden on the SE or would result in a fundamental alteration in the nature of the ICT. The documentation shall include an explanation of why and to what extent compliance with applicable requirements would create an undue burden or result in a fundamental alteration in the nature of the ICT.
Where conformance to one or more requirements in the Revised 508 Standards imposes an undue burden or a fundamental alteration in the nature of the ICT, the SE shall provide individuals with disabilities access to and use of information and data by an alternative means that meets identified needs.

ITS may request to review any determinations of undue burden or fundamental alteration. Such review may include, but is not limited to, review of the technical and business analyses, and other project documentation, technologies or systems which are the subject of this policy or any applicable standards. However, ITS’ review of such determinations is not meant to replace the judgement of the SE in arriving at this decision. The SE understands that it accepts the risk of non-compliance by publishing an ICT that does not conform to this standard and should consult its legal counsel accordingly.

5.0 Compliance

This policy shall take effect upon publication. Compliance is required with all enterprise policies and standards. ITS may amend its policies and standards at any time; compliance with amended policies and standards is required.

6.0 Definitions of Key Terms

Except for terms defined in this policy, all terms shall have the meanings found in http://www.its.ny.gov/glossary.

7.0 Contact Information

Submit all inquiries and requests for future enhancements to the policy owner at:

WebNY Enterprise Platform Service Bureau
Reference: NYS-P08-005
NYS Office of Information Technology Services
State Capitol, ESP, P.O. Box 2062
Albany, NY 12220
Telephone: 518-457-3300
Email: webnysupport@its.ny.gov

Statewide technology policies, standards, and guidelines may be found at the following website: Policies | Office of Information Technology Services (ny.gov)
This policy should be reviewed consistent with the requirements set forth in NYS-P09-003 Process for Establishing Information Technology Policies, Standards and Guidelines.

<table>
<thead>
<tr>
<th>Date</th>
<th>Description of Change</th>
<th>Reviewer</th>
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<tbody>
<tr>
<td>06/21/2004</td>
<td>Original Policy Release.</td>
<td>CIO/OFT</td>
</tr>
<tr>
<td>10/25/2006</td>
<td>Revised to add procurement language and to make minor changes to the standards.</td>
<td>CIO/OFT</td>
</tr>
<tr>
<td>08/01/2008</td>
<td>Revised to update the standards and eliminate any exceptions to the policy.</td>
<td>CIO/OFT</td>
</tr>
<tr>
<td>01/14/2009</td>
<td>NYS P08-005, NYS S08-005, and G06-001 Best Practice Guidelines are combined as one document numbered NYS P08-005.</td>
<td>CIO/OFT</td>
</tr>
<tr>
<td>01/27/2010</td>
<td>Made conforming changes to align with Webcasting Open Meetings Standard, NYS-S07-001; eliminated compliance schedule in A.11.1; A.11.2 and A.11.3. Compliance schedule has passed. Made similar conforming changes to Best Practice Guideline.</td>
<td>CIO/OFT</td>
</tr>
<tr>
<td>05/17/2010</td>
<td>Revised to replace customized NYS standard and instead to align with federal standards Section 508, Subpart B, section 1194.22 and Subpart C, section 1194.31.</td>
<td>CIO/OFT</td>
</tr>
<tr>
<td>09/10/2010</td>
<td>Revised to reflect change in reporting date from March 31 to December 31 of each year.</td>
<td>CIO/OFT</td>
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<tr>
<td>10/17/2011</td>
<td>Revision to Section 5.0.</td>
<td>CIO/OFT</td>
</tr>
<tr>
<td>09/12/2012</td>
<td>Reformatted and updated to reflect current CIO, agency name, logo and style.</td>
<td>ITS</td>
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<tr>
<td>03/26/2019</td>
<td>Scheduled review. Updated template scope authority</td>
<td>WebNY Enterprise Platform Service Bureau</td>
</tr>
<tr>
<td>07/17/2019</td>
<td>On page 3 in “Ensuring Compliance”, first bullet. Changed the word “biannually” to “biennial”</td>
<td>WebNY Enterprise Platform Service Bureau</td>
</tr>
<tr>
<td>01/24/2022</td>
<td>Updated policy</td>
<td>Chief Technology Office</td>
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<tr>
<td>05/24/2023</td>
<td>Updated WCAG compliance</td>
<td>DLA</td>
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9.0 Related Documents