

**RFI # C000851 - IES Financial Management System
Attachment 3 - Vendor Questions Form**

Vendor Question #	RFI Section Name	RFI Section Number	Inquiry	ITS Response
1	Introduction/Purpose of RFI	1.0	The first paragraph states that the system must "...integrate with the development of the New York State Integrated Eligibility System (IES)". Will the IES RFP be released and what is the planned schedule for implementation?	IES declines to provide a response.
2	Introduction/Purpose of RFI	1.0	Does the State define "financial management" as benefit issuance?	No. Please refer to scope section of the RFI for details.
3	Introduction/Purpose of RFI	1.0	Is there a projected schedule of when the scheduling of demonstrations will be?	No.
4	Introduction / Purpose of RFI	1.0	What specific integration requirements exist for interfacing the FM subsystem with other IES capabilities?	APIs will be required (e.g., Nightly batch interfaces both incoming, outgoing and bi-directional).
5	Introduction / Purpose of RFI	1.0	Will the FM subsystem be considered as a backbone system to all other IES modules?	No.
6	Current State	1.2	Are there documented workflows available for the current manual and paper-driven processes?	Yes.
7	Future State	1.3	Does the State intend to include a document management repository as part of the solution?	NYS has a document management solution.
8	Future State	1.3	Does the State intend to modernize it's Child Welfare information system at the same time as the modernization to the IES?	IES declines to provide a response.
9	Future State	1.3	Does the State intend for the Financial Management system to be a module in the IES or a separate standalone system?	Both. IES plans for modules to roll out each function separately such that it integrates with our current system and will then integrate with each module as it gets released.

10	Future State	1.3	Will the State require a helpdesk from a vendor?	No.
11	Future State	1.3	Does the State require the vendor to supply a mail solution?	No.
12	Future State	1.3	What are the lessons learnt from past implementations that should be avoided in a future solution?	IES declines to provide a response.
13	Future State	1.3	Does the State have a count or list of applications that the new FM solution would be required to interface or integrate with (excluding SSD applications)? Will the State provide a named list of in-scope systems with which the new FM solution would be required to interface or integrate?	IES declines to provide a response.
14	Functional Requirement	2.0	Does the State require a business rules process to document the justification of accounts payable for those processes that are outside of IES decision making processes?	Yes.
15	Functional Requirement	2.0	In the second bullet, first bullet under Accounts Receivable "Wage Garnishment". Can the State provide examples of what programs would be involved in this activity?	IES declines to provide a response.
16	Functional Requirements	2.0	What is the estimated number of state users that will utilize the new FMS?	2,000 - 35,000
17	Functional Requirements	2.0	What is anticipated contract duration or period of performance for a future solution?	This is dependent on the COTS availability as a product.
18	Card Management	2.1	The note under Card Management on Page 9 states that the Card Management scope is limited to EBT only. Under Current State, the State indicates that EBT...and other vendors "support CBIC card creation". The question for the State is whether the EBT system is being requested in this RFI or the ability to connect to the EBT system for card creation purposes?	No. NYS needs the ability to connect.
19	Technical Requirements	3.0	Does the Mobile application need offline capabilities? Or does a COTS solution with Responsive Design meet the States needs?	Responsive design will be sufficient for now.

20	Technical Requirements	3.0	Are APIs available for all existing in scope systems? Please share if possible.	Yes. IES reserves the right to share when appropriate.
21	Technical Requirements	3.0	What specific end user-facing functionalities are required for the mobile application component?	Mobile app functionalities should align to the desktop for the customer.
22	Technical Requirements	3.0	What specific staff-facing functionalities are required for the mobile application component?	Approval workflows and processing.
23	Technical Requirements	3.0	Please elaborate on the requirements for audit trails and reporting?	Generally Accepted Accounting Principles (GAAP) and Sarbanes-Oxley (SOX) standards, as well as any other applicable NYS Regulations.
24	Technical Requirements	3.0	How frequently does the State's financial management policies change?	Flexible system that is responsive to <i>ad hoc</i> changes to all Federal and State rules, regulations, policies, standards, and procedures for all programs is required.
25	Technical Requirements	3.0	When the State's financial management policy changes, how drastic or complex is the typical change?	Variable and dependent on external factors; a flexible system with end-user configurations is required.
26	Technical Requirements	3.0	Are there any specific constraints or requirements that the version control must adhere to?	Version control is required.
27	Technical Requirements	3.0	What is the estimated volume and complexity of the data that must be migrated to the new Financial management System?	Active records range from 10M - 5B.
28	Technical Requirements	3.0	Will the State consider solutions that utilize cloud-based SaaS applications?	The state will consider all options: on prem, cloud-based or hybrid solutions.

29	Technical Requirements	3.0	<p>The technical requirements indicate that any COTS application identified as a potential solution must be hosted on the ITS data center (on-premise).</p> <p>This requirement may limit the pool of potential products that could be procured to serve as the FM Module, given that many top-tier SaaS products are hosted in the cloud (e.g., Workday, Oracle ERP, SAP)?</p> <p>Additionally, this on-premise requirement seems to conflict with Question #3 in Attachment 1 - RFI Questions and Vendor Responses, which asks if the solution is offered as a customer-hosted on-premises application or as a cloud-based solution. Could the State provide clarification on this matter?</p>	The state will consider all options: on prem, cloud-based or hybrid solutions.
30	Current Agencies / End Users	3.1	Is the objective of the new FM solution to replace existing solutions at all SSDs or will the new FM solution potentially have to interface or integrate with SSD specific applications?	The new system should be designed to integrate perfectly with the SSDs' program-specific requirements while also replacing existing solutions.
31	Current Agencies / End Users	3.1	Will the State require the system implementer to convert data from existing SSD specific applications?	Potential for both (NYS and/or vendor).
32	Attachment 2: Functional Requirements	Accounts Payable	Accounts Payable Tab: Pass Through Payments. Would the State please clarify if the RFI encompasses the calculation of the pass through and excess payments using a CSE Distribution algorithm in addition to the disbursement, or will that functionality be in a separate CSE solution?	RFI includes calculation of passthrough payments and excess payments are. Child support is developing its own capability. Will have to integrate with FM.
33	Attachment 2: Functional Requirements	Accounts Receivable	Accounts Receivable Tab: The description for requirement on line 15 and the one on line 21 matches though the requirement name is different. Can the State clarify the descriptions of the requirements?	Yes, capability is the same, but the scenarios are different. One is during payments and the other is via collections.
34	Attachment 2: Functional Requirements	Accounts Receivable	Accounts Receivable Tab: Child Support Payment. Would the State please clarify if the RFI encompasses the Distribution of Child support Collections against liabilities, or is it solely collection and posting for distribution by another system.	No. Child Support is not in scope for accounts receivable.
35	Attachment 2: Functional Requirements	Attachment 2	General: In the previously released and awarded Financial Management RFP, there were several additional functional requirements included in the procurement document. The current functional requirements matrix, however, appears to contain substantially fewer requirements. Could the State clarify this discrepancy	The previous RFP does not apply in this context.
36	Attachment 2: Functional Requirements	Card Management	Card Management: What are the technical expectations / assumptions for the system's integration with existing infrastructure (e.g., APIs, batch processing, file formats). + can we get more details on the required integrations in remaining surrounding systems?	The system is expected to integrate APIs supporting both real-time and batch processing functionality.

37	Attachment 2: Functional Requirements	Card Management	Card Management: What are the expectations / assumptions for the user interface for Card Management (both back-office + end-user)?	The system is expected to integrate APIs supporting both real-time and batch processing functionality.
38	General	General	Does the State intend to release an RFP for Organizational Change Management prior to the release of the IES FM RFP?	IES declines to provide a response.
39	General	General	What is the timing for the State's next steps in procurement of this functionality?	To be determined at a later date.
40	Attachment 1	Question 14	Can the State clarify and detail the relevance of requiring experience in handling Criminal Justice Information (CJI) or other information related to law enforcement or criminal justice for the Financial Management module of the Health and Human Services Integrated Eligibility System (IES)?	IES declines to respond.